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BOX SEQ

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PTO/SB/05 (2/98)

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

210.419C9

First Inventor or Application Identifier

Tony N. Frudakis

Title

COMPOSITIONS AND METHODS FOR THE THERAPY
AND DIAGNOSIS OF BREAST CANCER

Express Mail Label No.

EL615229895US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 202311. ☐ General Authorization Form & Fee Transmittal
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages] **131**
(preferred arrangement set forth below)
- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure3. ☒ Drawing(s) (35 USC 113) [Total Sheets] **25**

4. Oath or Declaration [Total Pages]

a. ☐ Newly executed (original or copy)b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)5. ☐ Incorporation By Reference (useable if box 4b is
checked) The entire disclosure of the prior application,
from which a copy of the oath or declaration is supplied
under Box 4b, is considered to be part of the disclosure of
the accompanying application and is hereby incorporated by
reference therein.6. ☐ Microfiche Computer Program (Appendix)7. Nucleotide and Amino Acid Sequence Submission
(if applicable, all necessary)a. ☒ Computer-Readable Copyb. ☒ Paper Copy (identical to computer copy)c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,
Status still proper and desired15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☒ Other: Certificate of Express Mail

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☒ Continuation-In-Part (CIP) of prior Application No.: 09/577,505Prior application information: Examiner not assigned Group / Art Unit not assigned☐ Claims the benefit of Provisional Application No. _____

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Respectfully submitted,

TYPED or PRINTED NAME Jane E. R. PotterSIGNATURE Jane E. R. PotterREGISTRATION NO. 33,332Date June 8, 2000

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tony N. Frudakis, Sarasota, FL; Steven G. Reed, Bellevue, WA; John M. Smith, Everett, WA; Lynda E. Misher, Seattle, WA; Davin C. Dillon, Issaquah, WA; Marc W. Retter, Carnation, WA; Aijun Wang, Issaquah, WA; Yasir A. W. Skeiky, Seattle, WA

Filed : June 8, 2000

For : COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF BREAST CANCER

Docket No. : 210121.419C9

Date : June 8, 2000

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Assistant Commissioner for Patents:

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Respectfully submitted,

Seed Intellectual Property Law Group PLLC



Amber Straub/Jeanette West/Susan Johnson

JEP:sds

Enclosures:

Postcard
Form PTO/SB/05
Specification, Claims, Abstract (131 pages)
25 Sheets of Drawings (Figures 1-24)
Sequence Listing (116)
Declaration for Sequence Listing
Diskette for Sequence Listing